



MEMORY AID

CIRCULAR PROGRAM EVALUATION MISSION REGIONAL FINANCING FOR LOCAL ADAPTATION
IN WEST AFRICA (LOCAL PROGRAM)

IVORY COAST STAGE, MAY 23 TO 24, 2024

May 2024

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I. INTRODUCTION

Aevaluation mission of the Regional Program for Financing Adaptation at the Local Level (LoCAL) in West Africa (Burkina Faso, Ivory Coast, Mali & Niger) stayed from May 23 to 24, 2024 in Abidjan in Ivory Coast. She fits intowithin the framework of the Green Climate Fund (GCF) procedures, implying that any modification of the Complete Program Document (CPD) must be brought to the attention of the beneficiary country to obtain their opinion in order to obtain the Letter of No Objection. This mission was therefore carried out in this logic with particular attention to the institutional framework and the refinancing line.

The circular mission was led by Mr. Ibrahim TRAORE, Head of the Climate Project Development Area (DDPC) accompanied for the Ivory Coast stage by Yaovi KOGBE, Climate Finance Specialist and Mr. Yohann ZABA, Climate Development Specialist. Climate projects. Details of the personalities and structures representing the Ivorian side are in Annex 02 of this document. The various working sessions carried out focused on the following points: (i) the framing of the mission under the supervision of the Designated National Authority (AND) of the GCF in Côte d'Ivoire in order to organize the various meetings with the institutions Program stakeholders; (ii) the presentation of the program followed by questions and answers between the two delegations for better appreciation and understanding; (iii) proposals relating to the institutional architecture of the program for Côte d'Ivoire and the deployment of the refinancing line; (iv) the development and finalization of the institutional framework (Côte d'Ivoire); (v) the development and finalization of the aide-mémoire and (vi) the restitution of the work of the mission.

II. CONTENT OF THE LOCAL REGIONAL PROGRAM

The Regional Program for Financing Adaptation at the Local Level (LoCAL) is structured around two components, namely: (i) strengthening the awareness and response capacities of local governments, local actors and communities and promoting local adaptation responses and (ii) establishing country-based financing mechanisms to access and channel climate finance at the local level and enable local investments led by climate resilience. The program's areas of intervention are: climate-smart agriculture, forestry, agroforestry, water and health, renewable energy and energy efficiency, climate resilient infrastructure and coastal areas.

The interventions proposed by the program aim to: (i) strengthen institutional, technical and financial capacities at the local level; (ii) provide performance-based climate resilience grants to cover the additional costs of climate-resilient investments and (iii) implement blended financing instruments to address financing constraints of local private sector actors and generate investments aligned with adaptation priorities.

Component 1: Strengthening response and awareness capacities at the local level

Activities selected:	Expected results:
Activity 1.1: Local stakeholder needs assessments for awareness raising and capacity building Activity 1.2: Organization of awareness-raising activities with key local stakeholders Activity 1.3: Development and implementation of a local awareness and communication strategy. Activity 2.1: Carrying out localized analyzes of climate risks Activity 2.2: Establishment of Local Information Systems for Adaptation (LISA) in target local governments	(i) Increased awareness of the risks and impacts of climate change at the local level (ii) Improving availability and access to climate data for risk-informed local decision-making (iii) Strengthening the capacity of local governments for effective locally-led adaptation.

<p>Activity 3.1: Strengthening the capacities of local authorities for participatory and gender-sensitive adaptation planning</p> <p>Activity 3.2: Development of Annual Investment Plans (AIP) for adaptation</p> <p>Activity 3.3: Strengthening local government capacities to execute and manage adaptation investments</p> <p>Activity 3.4: Establishing local monitoring and evaluation systems to track adaptation investments</p> <p>Activity 3.5: Awareness raising and training of target local governments on the LoCAL standard and the characteristics of the PBCRG</p>	
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Component 2: Establishing country-based financing mechanisms to access and channel climate finance locally and enable local investments led by climate resilience

Activities selected:	Expected results:
<p>Activity 5.1: Transfer of PBCRG allocations to target local governments and implementation of investments</p> <p>Activity 5.2: Conducting Annual Performance Assessments (EPAs) in target local governments</p> <p>Activity 6.1: Support to institutions for the adoption and operationalization of the PBCRG mechanism</p> <p>Activity 6.2: Support for institutional actors for mobilizing additional funding</p> <p>Activity 7.1: Establishment of Blended Financing Facilities and establishment of governance committees</p> <p>Activity 7.2: Allocation and implementation of private sector-led adaptation programs in target local areas.</p>	<p>(v) Deployment of PBCRGs, transfer of allocations to local governments and implementation of investments</p> <p>(vi) Gradual institutionalization of the PBCRG system and attraction of additional financing for its expansion</p> <p>(vii) Establishment of Blended Finance Facilities and financing of income-generating adaptation investments in each target country.</p>

The beneficiary countries present a high level of vulnerability which particularly affects the most fragile communities, hence a theory of change based on the removal of institutional, technical and financial barriers of local authorities, which have a privileged position to understand and apprehend the diversity and complexity of local systems and the realities of communities facing the effects of climate change.

The total cost of the program is estimated at 55 million euros or 36,078 MFCFA including: (i) 47 million euros expected from the GCF or 30,876 MFCFA; (ii) 6.2 million euros or 4,046 MFCFA expected from the UEMOA Council of Territorial Authorities (UEMOA CCT); (iii) 852,410 euros or 559 MFCFA expected from the United Nations Capital Development Fund (UNCDF) and (iv) an in-kind contribution from countries estimated at 1.4 million euros or 919 MFCFA.

Structuring of financing:

Partners	Financial instrument s	Amount (Euros)	Amount (FCFA)
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Financing Green Climate Fund	Don	47,068,480	30,875,746,200
Co-financing from partners	Don	<ul style="list-style-type: none"> • UEMOA CCT: 6,168,390 • UNCDF: 852,410 	<ul style="list-style-type: none"> • 4,046,309,630 • 559 160 194
Country Co-financing	Nature	All 4 Countries: 1,415,390 Each country: 353,847	<ul style="list-style-type: none"> • 928 435 089 • 232 115 140
Total amount of the Program		55,504,670	36,409,675,903

III. ACTIONS TO BE TAKEN AND TIMELINE

The mission made it possible to review the main points of attention to be taken into account in finalizing the project document.

Procurement :

The Ivorian side clarified that a national framework governing the award of public contracts exists in Côte d'Ivoire through the Public Procurement Code taken by Decree No. 2014-306 of May 27, 2014 and the Public Procurement Regulatory Authority constituted by decree n°2009-260 of August 6, 2009.

Alignment of the LoCAL Regional Program with the LoCAL Côte d'Ivoire Mechanism and national policies (CDN)

The LoCAL mechanism in Côte d'Ivoire is at the stage of development, however, the Ivorian party insisted that the implementation of the LoCAL Regional Program in the case of Côte d'Ivoire is based on the architecture of the institutional framework in development. Stakeholders suggested that the Program be a scale-up which will ultimately allow the country to commit to investments aligned with the CDNs, the five-year Communal Development Plans (PDC) and the Annual Investment Plans (PIA) of the municipalities.

Criteria for selecting municipalities and intervention areas

Côte d'Ivoire, taking into account the criteria of the LoCAL Côte d'Ivoire Mechanism currently being implemented and in relation to the socio-economic and climatic situation of local authorities, will proceed to the selection of beneficiaries and municipalities of intervention during the execution of the LoCAL Regional Program according to the Performance-Based Climate Resilience Grant System (PBCRG) approach which is a performance-based climate resilience grant system with a “do-do” approach.

Institutional framework of the program for Côte d'Ivoire

The AND FVC of Côte d'Ivoire and national stakeholders met and proposed the institutional linkage and the composition of the Steering Committee, the Technical Committee and the Project Management Unit which will be established .

BOAD is the implementing agency (Accredited Entity). The Ministries responsible for territorial administration will be responsible for implementation on the ground, with technical support from UNCDF. The CCT-UEMOA will execute component 2.2 relating to the establishment of a private sector refinancing line at the local level.

The institutional framework of the LoCAL Program includes the following bodies: (i) a Steering Committee which is the political and decision-making body of the project; (ii) a LoCAL Technical Committee which is the project management body; (iii) a Program Management Unit (PMU) housed in the National Climate Change Program of the Ministry in charge of the Environment and

(iv) a Project Management Unit within communities to promote local ownership and sustainability of acquired from the program. It will be formalized through an administrative act which will be taken for this purpose.

The responsibilities of the various bodies within the framework can be found in Appendix 4 of this document.

Refinancing line

The AND and the stakeholders propose for the sustainability and traceability of the credit line that the subsidy granted to the private sector be repayable in capital for the first phase within the framework of the five years of implementation of the Program and that at beyond that a preferential rate is negotiated with local microfinance institutions (MFIs).

For consistency of actions and traceability of the refinancing line, it was recommended that the institutional and control framework of the facility be organized including the authorized state structures. A resource transfer plan has been proposed and appears in Appendix 4.

The agreed work schedule is as follows:

May 24, 2024	Responsible	May 27, 2024	Responsible	May 30, 2024	Responsible
Development and updating of the institutional framework as well as the composition of COPIL COTECH and the UGP	Ivory Coast	Preparation and transmission to BOAD of the no-objection letter from the regional program	Ivory Coast	Review and submission of the Full Program Document to the GCF	BOAD

IV. CONCLUSION AND RECOMMENDATIONS

The mission would like to thank the Ivorian party for its hospitality and availability. The Ivory Coast GCF DNA greatly facilitated stakeholder engagement, logistics and catering for the benefit of participants and the mission team during the working sessions.

Ivorian stakeholders transmitted an inclusive architecture of the institutional framework of the regional program for Côte d'Ivoire. The Ivorian party is committed to formalizing the establishment of the Project Management Unit within the communities through an administrative act at the appropriate time.

A series of framework documents was sent to the mission team (see list in Appendix 4).

The AND FVC of Côte d'Ivoire will send a letter to BOAD to request the appointment of a representative of the Bank within the LoCAL Technical Committee with the possibility of hybrid participation in the sessions.

The Ivorian side informed BOAD that a donor round table will be organized in October 2024 ahead of COP29. In this context, a portfolio of projects is being developed and includes, to date, more than 162 projects, 106 of which are mature.

BOAD informed the Ivorian party of: (i) the upcoming arrival of a Bank mission relating to the development of a Country Climate Program which will make it possible to develop a pipeline of mature sectoral climate projects and (ii) the The upcoming organization of a Climate Finance Seminar for the benefit of WAMU member states to work on a harmonization of the nomenclature

of climate studies and the mechanisms for supplementing the Study Fund (SEF) of the BOAD. An invitation letter will be sent to the Ministry in charge of the Economy and Finance in order to mobilize an Economist Expert (Economy and Finance) and an Adaptation/Mitigation Expert (Environment) who will be supported by BOAD.

Done in Abidjan, May 24, 2024

For BOAD For the Ivorian Party

Ibrahim TRAORE

Head of the Climate Project Development
Area, representing the Director of DEFIC

Marcel YAO

**Designated National Authority of
the Green Climate Fund in Ivory
Coast**

APPENDICES

Appendix 01: PPT Presentation of the LoCAL program
(PDF)

Appendix 02: Attendance lists
(PDF scan)

Annex 03: Institutional Arrangement of the LoCAL Program in Ivory Coast

Annex 04: Institutional Framework and Financing Scheme within the framework of LoCAL

Appendix 04: List of documents to be provided by structure

List of documents to be provided by the AND	List of documents to be provided by the LoCAL Ivory Coast Committee	List of documents to be provided by BOAD
<ul style="list-style-type: none"> ▪ Decree for state co-financing in projects and programs ▪ Texts governing project management at national level ▪ Texts governing the organic framework of municipal employment 	<ul style="list-style-type: none"> ▪ Order creating the LoCAL Technical Committee specifying its responsibilities, its composition, its organization and its operation. 	<ul style="list-style-type: none"> ▪ Endorsement letter template
<ul style="list-style-type: none"> ▪ 	<ul style="list-style-type: none"> ▪ Regulations and texts establishing criteria for selecting municipalities 	<ul style="list-style-type: none"> ▪ Updated LoCAL Regional Program Presentation
<ul style="list-style-type: none"> ▪ 	<ul style="list-style-type: none"> ▪ Laws relating to the administrative organization of municipalities (composition and basic services) 	<ul style="list-style-type: none"> ▪ Concept Note of the LoCAL Regional Program in French
<ul style="list-style-type: none"> ▪ 	<ul style="list-style-type: none"> ▪ Texts and regulations relating to the PBCRG approach describing the performance criteria within the framework of the LoCAL Côte d'Ivoire Mechanism 	<ul style="list-style-type: none"> ▪ Mission checklist