

Annex 11 Monitoring and evaluation plans

Monitoring					
Programme/ Project results	Data/Source	Collection Tool	Frequency	Indicator	Indicative Budget
	Baseline survey	<i>Baseline study</i>	<i>At project Start (Year 1)</i>	<i>All indicators in the logical framework (sections E.3 and E.5)</i>	8,000
	<i>Mid-term review survey</i>	<i>Survey/questionnaire</i>	<i>At mid-term (Year 3)</i>	<ul style="list-style-type: none"> • <i>Operational REDD+ monitoring and information system</i> • <i>Upgraded NFMS</i> • <i>Upgraded SIS</i> • <i>Number of deforestation-free VCs operationalized.</i> • <i>Number of functional provincial 4P platforms</i> • <i>Number of district and commune-level 4P platforms implementing VCAPs</i> • <i>Percentage of CIGs, cooperatives and SMEs reporting improvement in MCCFM</i> • <i>Number of households accessing PFES mechanisms</i> • <i>Number of households able to access new financial products</i> • <i>Number of households reporting improved access to land or forest</i> • <i>Number of households reporting increase in their incomes</i> <i>Number of farmers adopting climate-resilient practices/technologies</i>	8,000
	<i>Impact survey</i>	<i>Survey/questionnaire</i>	<i>At project end (Year 6)</i>	<i>Same indicators as in mid-term review survey</i>	15,000
	<i>Other thematic studies</i>	<i>Survey/questionnaire</i>	<i>Annual (Year 1 to year 4)</i>	<i>Qualitative studies around specific thematic areas: policy and institutional changes, paradigm shift, deforestation free value chain development, land tenure, social changes,</i>	13,070

				<i>gender transformation, etc. Operational indicators will be established in line with M&E plan and KM strategy during project implementation.</i>	
Output 1.1: National REDD+ policies and mechanism upgraded and updated to meet RBP requirements	REDD + MIS (under activity 1.1.1.)	<i>Government data/records</i>	<i>Annual</i>	Upgraded NFMS operational with linkages between the monitoring of NRAP, NFMS, MRV and registry system	<i>Support to MARD/VNForest to implement a REDD+ MIS (USD 190,300)</i> <i>Support to strengthen FORMIS to be transparent, inclusive and publicly accessible (USD 291,400)</i>
	REDD + SIS (under activity 1.1.1.)	<i>Government data/records</i> Choose an item.	<i>Annual</i>	REDD+ Safeguard Information System operational and RBP sources identified.	<i>Facilitation of SIS monitoring and reporting from RECAF provinces (USD 227,640)</i>
Output 1.2 Provincial policies, legal and institutional frameworks, and stakeholder coordination mechanisms integrated with NRAP.	<i>NRAP (under activity 1.2.1)</i>	<i>Field observation visits</i> <i>GIS data</i> <i>Government data/ record</i>	<i>Annual</i>	# of PRAPs prepared and integrated into provincial SEDPs and forestry plans that ensure alignment with Warsaw Framework for REDD+, Paris Agreement, and national policies/strategies (including Vietnam's NDC and NRAP)	<i>Forest inventories surveys/ h (USD 322 511)</i>
	<i>Capacity Enhancement (under activity 1.2.3)</i>	<i>Survey/questionnaire</i>	<i>Year 1</i>	# studies on mitigation of trade-offs between forest conservation and rural economic development; # studies on soil surveys in project districts; # Assessments on current status of forest management practices to inform commune selection for MCCFM; # studies on fair PFES payment rates. # surveys to characterize good local agroforestry practices;	<i>Soil survey in project communes (USD 77 120)</i>

				# participatory research sites; # reports of results and guidelines; # of study visits of 4P and MCCFM participants to research sites;	
Output 2.2. Deforestation-free value chains and forest restoration infrastructure upgraded and established	<i>Gia Lai Forest monitoring system (under activity 2.2.2)</i>	<i>Field observation visits</i>	<i>TBD</i>	# village-level MCCFM groups provided with support to upgrade or establish forest monitoring and protection infrastructure.	<i>Design, supervision, and others (USD 100,670)</i>
	<i>Dak Nong Forest monitoring system (under activity 2.2.2)</i>	<i>Field observation visits</i>	<i>TBD</i>	# village-level MCCFM groups provided with support to upgrade or establish forest monitoring and protection infrastructure.	<i>Design, supervision, and others (USD 100,670)</i>
	<i>Dak Lak Forest monitoring system (under activity 2.2.2)</i>	<i>Field observation visits</i>	<i>TBD</i>	# village-level MCCFM groups provided with support to upgrade or establish forest monitoring and protection infrastructure.	<i>Design, supervision, and others (USD 100,670)</i>
	<i>Ninh Thuan Forest monitoring system (under activity 2.2.2)</i>	<i>Field observation visits</i>	<i>TBD</i>	# village-level MCCFM groups provided with support to upgrade or establish forest monitoring and protection infrastructure.	<i>Design, supervision, and others (USD 100,670)</i>
Output 2.3: Collaborative Forest management capacity enhanced through performance-based incentives	<i>Gia Lai Province (Under activity 2.3.2)</i>	<i>Field observation visits GIS data Document review</i>	<i>Yearly</i>	# of households belonging to communities and forestland owners accessing PFES mechanisms. # of hectares for which performance-based PFES provides incentives to conserve or restore forest. # of hectares of forestland under MCCFM	<i>Support to local communities for monitoring and evaluation of agroforestry pilot farms, Gia Lai (USD 1 115 000)</i>
	<i>Dak Nong Province</i>	<i>Field observation visits GIS data Document review</i>	<i>Yearly</i>	# of households belonging to communities and forestland owners accessing PFES mechanisms. # of hectares for which performance-based PFES provides incentives to conserve or restore forest. # of hectares of forestland under MCCFM	<i>Support to local communities for monitoring and evaluation of agroforestry pilot farms, Dak Nong (USD 862,000)</i>

	<i>Dak Lak Province</i>	<i>Field observation visits GIS data Document review</i>	<i>Yearly</i>	# of households belonging to communities and forestland owners accessing PFES mechanisms. # of hectares for which performance-based PFES provides incentives to conserve or restore forest. # of hectares of forestland under MCCFM	<i>Support to local communities for monitoring and evaluation of agroforestry pilot farms, Dak Lak (USD 575,000)</i>
	<i>Lam Dong</i>	<i>Field observation visits GIS data Document review</i>	<i>Yearly</i>	# of households belonging to communities and forestland owners accessing PFES mechanisms. # of hectares for which performance-based PFES provides incentives to conserve or restore forest. # of hectares of forestland under MCCFM	<i>Support to local communities for monitoring and evaluation of agroforestry pilot farms, Lam Dong (USD 149,000)</i>
	<i>Ninh Thuan</i>	<i>Field observation visits GIS data Document review</i>	<i>Yearly</i>	# of households belonging to communities and forestland owners accessing PFES mechanisms. # of hectares for which performance-based PFES provides incentives to conserve or restore forest. # of hectares of forestland under MCCFM	<i>Support to local communities for monitoring and evaluation of agroforestry pilot farms, Ninh Thuan (USD 747,000)</i>
Total Monitoring Budget					5,003,721

Evaluation			
Type	Timing	Independent/Self-evaluation	Indicative Budget
<i>Outcome</i>	Interim Evaluation (Year 3)	Independent	50,000
<i>Impact</i>	Final Evaluation (Year 6)	Independent	69,000
Total evaluation budget			119,000