



# Operational Modalities for 2024-2027 Readiness Strategy

Hard Launch

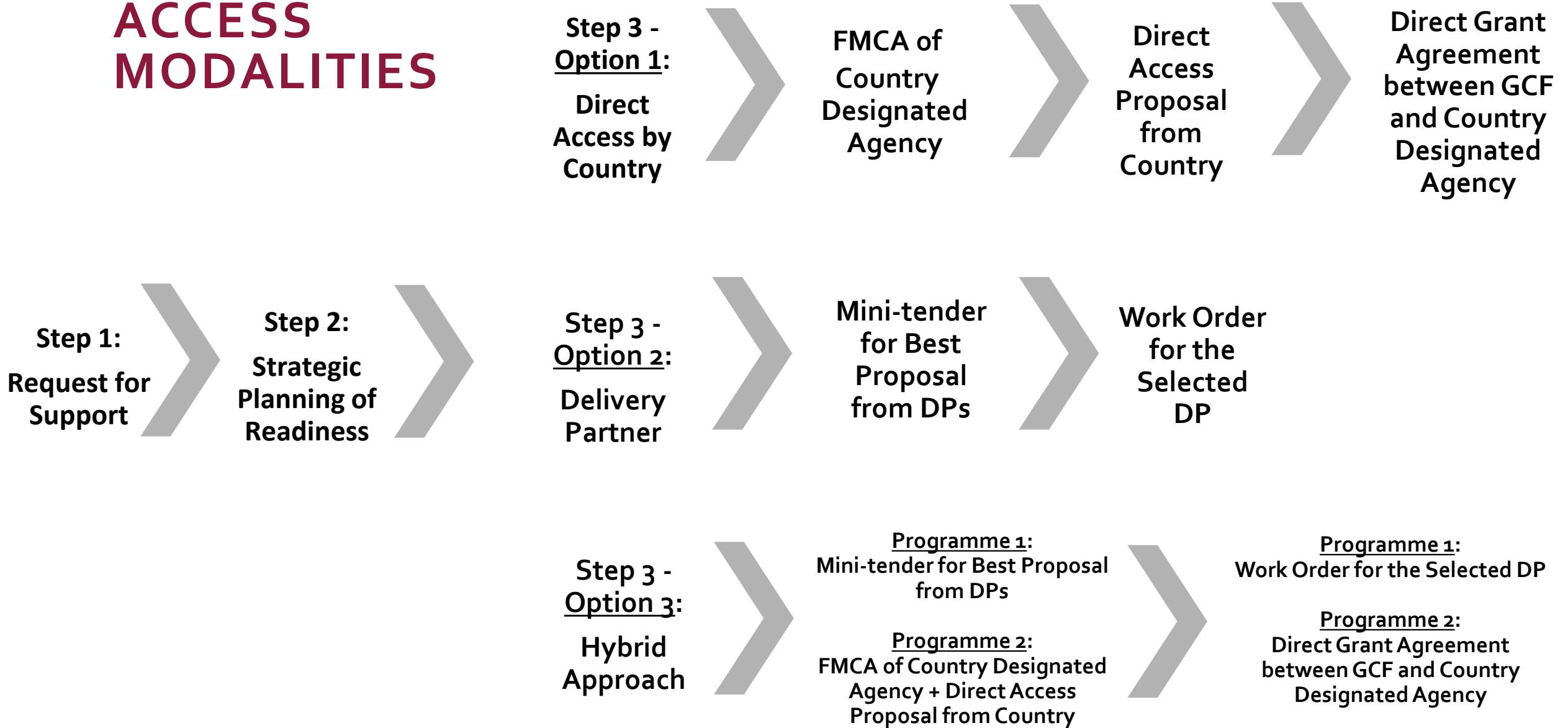
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24 September 2024

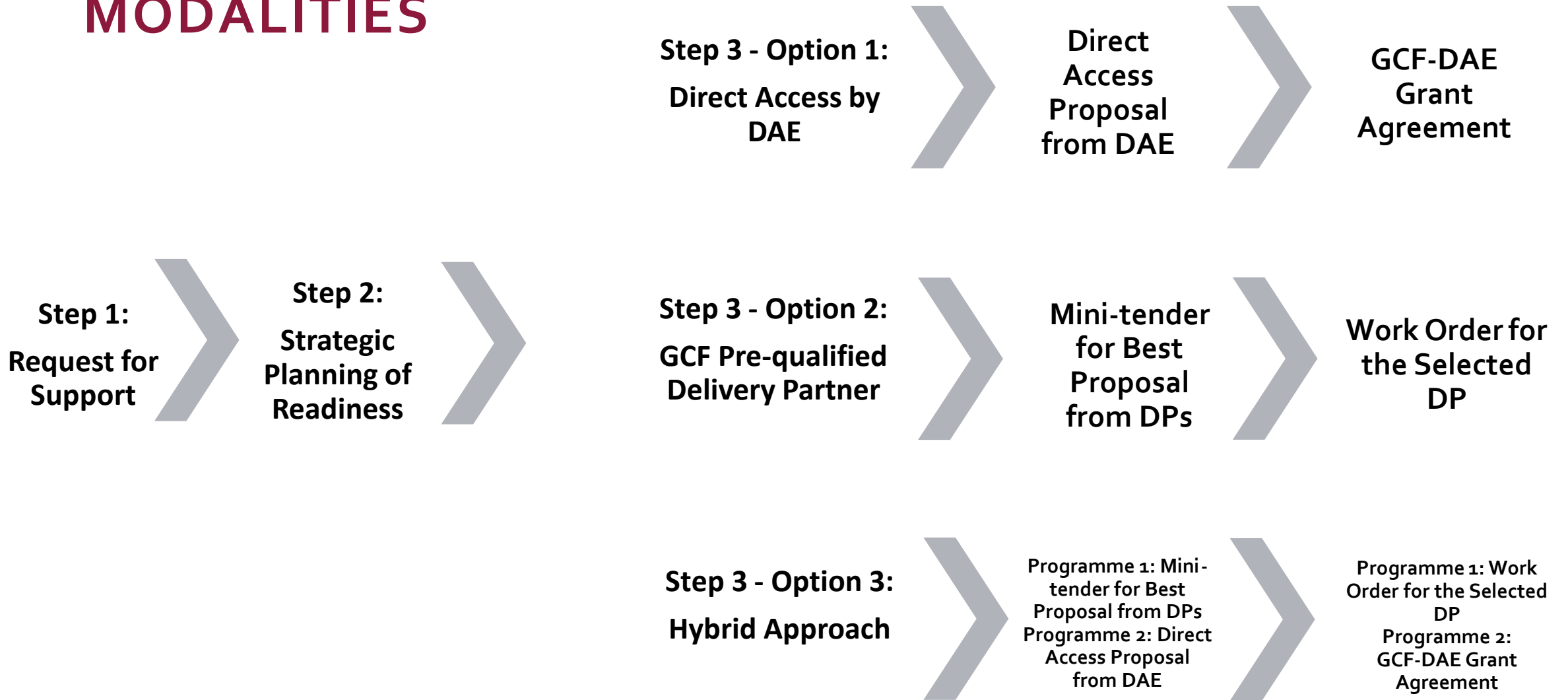
# OUTLINE

- **Access and Delivery Modalities**
- **Step-by-step Access and Delivery Modalities & Toolbox**
- **Timelines & Next Steps**
- **Transitional Measures**
- **GCF Placement Scheme**
- **Q&A**

# COUNTRY ACCESS MODALITIES



# DAE ACCESS MODALITIES



# STEP 1. REQUEST FOR SUPPORT



## Toolbox

- A standardised Request for Support template, for countries and DAEs

### Request for Support Templates [Step 1]

To: Green Climate Fund Secretariat  
Date:

**SUBJECT: REQUEST FOR SUPPORT, MAIN WINDOW**

As the [Country's/Region's Name], we hereby request readiness support under the main window, with the following details.

#### Financing Window

The 2024-2027 readiness strategy establishes the Country Support modality and the DAE Support modality. Please indicate which modality is being accessed for this request. Please choose one option:

- Country Support, Main Window  DAE Support, Main Window

#### Access Modalities

For both Countries and DAEs, please specify whether the main window will be utilised either through one or two integrated programmes, to address the identified readiness needs in a strategic and medium-term manner.

- Main window – **ONE PROGRAMME** to the total envelope allocated for the country or DAE support, covering all three objectives outlined in the 2024-2027 readiness strategy.

Please choose a planned or preferred access modality. Please choose one option:

- Direct Access by the NDA/focal point, in their coordination capacity, or by the DAE
- Using a pre-qualified delivery partner, based on selection of the best technical and financial offer
- Hybrid: a mix of direct access and using a competitively selected pre-qualified delivery partner

- Main window – **TWO PROGRAMMES** with any combination of USD funding between them, up to the total envelope allocated for the country or DAE support.

<b>PROGRAMME 1</b>	<i>Please choose area(s) where support is required</i> <input type="checkbox"/> Objective 1: Institutional strengthening and strategic frameworks <input type="checkbox"/> Objective 2: Pipeline development & implementation <input type="checkbox"/> Objective 3: Knowledge-sharing and learning	<i>Please choose one option:</i> <input type="checkbox"/> Direct Access by the NDA/focal point, in their coordination capacity, or by the DAE <input type="checkbox"/> Using a pre-qualified delivery partner, based on selection of the best technical and financial offer <input type="checkbox"/> Hybrid: a mix of the two options
<b>PROGRAMME 2</b>	<i>Please choose area(s) where support is required</i> <input type="checkbox"/> Objective 1: Institutional strengthening and strategic frameworks <input type="checkbox"/> Objective 2: Pipeline development & implementation <input type="checkbox"/> Objective 3: Knowledge-sharing and learning	<i>Please choose one option:</i> <input type="checkbox"/> Direct Access by the NDA/focal point, in their coordination capacity, or by the DAE <input type="checkbox"/> Using a delivery partner, based on selection of the best technical and financial offer <input type="checkbox"/> Hybrid: a mix of the two options

**Contact Information:** Name, position and email address of the contact person.

\_\_\_\_\_

Signature \_\_\_\_\_ Authorised Signatory's Name, Position \_\_\_\_\_

# STEP 2. STRATEGIC, MEDIUM-TERM PLANNING



## Toolbox

- A guide for Countries on Strategic Planning
- A guide for DAEs on Strategic Planning
- A standardised Country Readiness ToR template
- A standardised DAE Readiness ToR template

## Actions



- To strategically plan over the medium term, from current readiness status (baseline) → where to be by end of Year 4 (result areas).



- To **coordinate** action and engage relevant stakeholders

- Readiness needs and gaps identified through strategic, medium-term planning will ultimately form the ToRs → used either:
  - For pre-qualified DPs or
  - To inform the Direct Access Proposal
- **Assistance** from the Secretariat upon request.
- Countries and DAEs can also utilise the expertise and resources, such as the **GCF placement experts**.

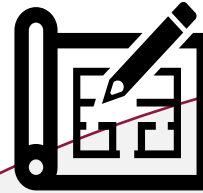
# STEP 3 – OPTION 1. DIRECT ACCESS



## Actions for NDAs & DAEs, and GCF



- Strategic, medium-term planning
- Confirm NDA/government agency or DAE can
  - Enter into a direct grant agreement with GCF
  - Receive funds directly from GCF
- FMCA
- Direct Access Proposal Submission
- Individual grant agreement



## Toolbox

- FMCA template
- Direct Access Proposal template
- Standard grant agreement for Direct [National] Access\*

Agencies with **Framework** Readiness and Preparatory Support Grant Agreements with the GCF Secretariat might be invited directly by countries and DAEs to submit proposals to address their ToRs. GCF encourages more than one FWA holder to be invited to submit a proposal.

Current holders UNDP, UNEP/UNEP-CTCN, GIZ, FAO, GGGI, CCCCC, CAF, UNIDO, IDB, SPC, SPREP.

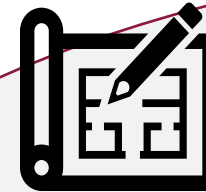
# STEP 3 – OPTION 2. PRE-QUALIFIED DPs



## Actions for NDAs and DAEs, and GCF



- Strategic, medium-term planning/ToR, in consultation with relevant stakeholders
- Mini – tender for best proposal from pre-qualified DPs\*
- Work Orders based on the winning proposal (no need for individual grant agreements)



## Toolbox

- A standardised mini-tender template for DPs
- A work order for DPs\*

\* GCF pre-qualifies DPs. Selection criteria, requirements, and areas of expertise will be published widely, including on local/NDA platforms. Pre-qualified DPs will be grouped per area of expertise:

- Lot 1: **Policy and Regulation lot**, incl. Vulnerability and Resilience (V&R) sub-lot, Private Sector (PS) Engagement sub-lot, and Knowledge Management and Learning (KM&L)
- Lot 2: **Climate Investment Design and Implementation**, incl. V&R sub-lot, PS Engagement sub-lot, and KM&L



# STEP 3 – OPTION 3. HYBRID APPROACH



## Actions

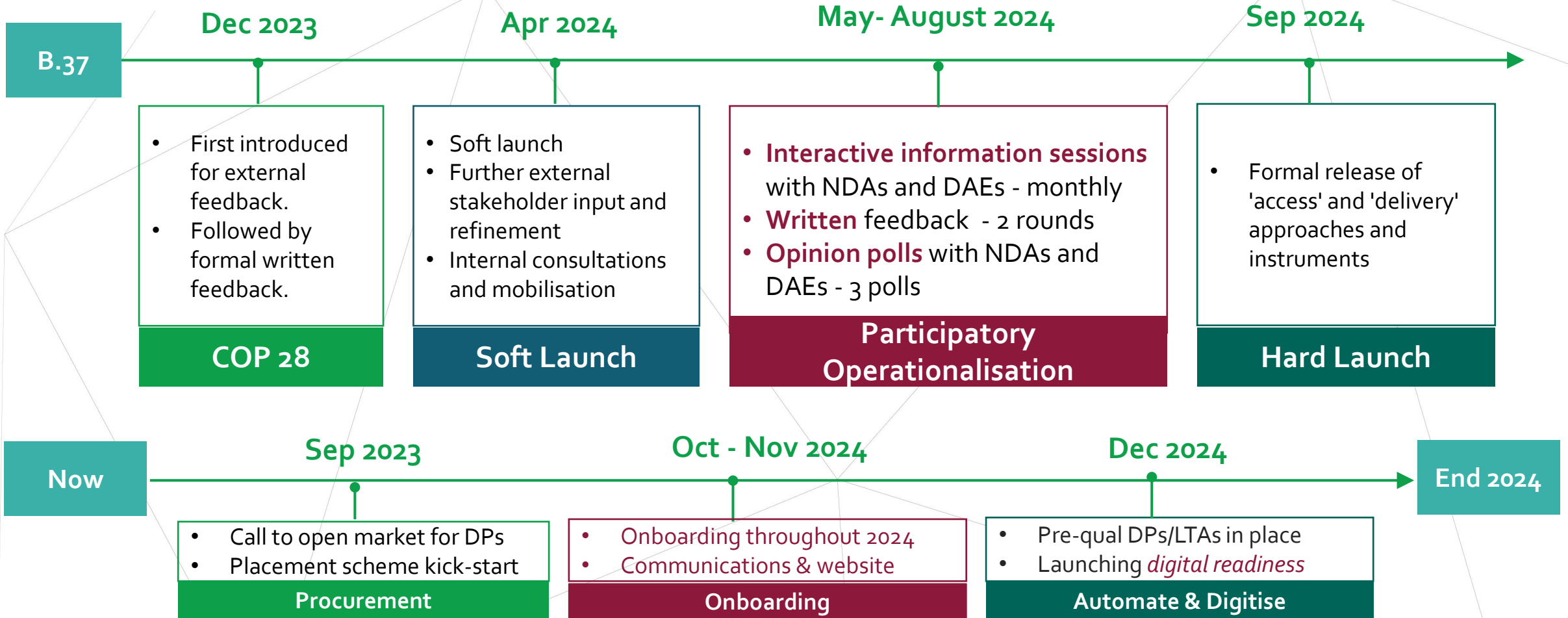


- Project planning and coordination with relevant stakeholders
- Readiness Access
  - For projects implemented through pre-qualified DPs, the NDA develops ToRs that are addressed via mini-tenders.
  - For directly access, the NDA develops Direct Access Proposal.
- Readiness Delivery
  - For direct access, Direct Access Proposal + Grant Agreement
  - For pre-qualified DPs → Mini-tenders + Work Orders

## Example

- *Programme 1 - Specialised Support → pre-qualified DPs → Objective 2*
  - *To leverage specialised external expertise*
  - *To benefit from streamlined contracting processes provided under LTA arrangement*
- *Programme 2 - Direct Implementation → Country or DAE → Objectives 1 and 3*
  - *To closely manage regulatory reform processes and other activities that benefit from a more hands-on approach or*
  - *To benefit from local expertise and capacities.*

# Timelines & Next Steps



# RE-FRESHER: FINANCING MODALITIES

2024-2027



## DAE Modality

DAEs

➤ Total envelope **\$ 1 m** per entity over 4 years

## Country Modality

NDA

Total envelope **up to \$ 7.32 m** per country over 4 years

**\$ 320k** per LDC/SIDS country over 4 years

**\$ 4 million** per country over 4 years + **\$ 3 million NAP.1**

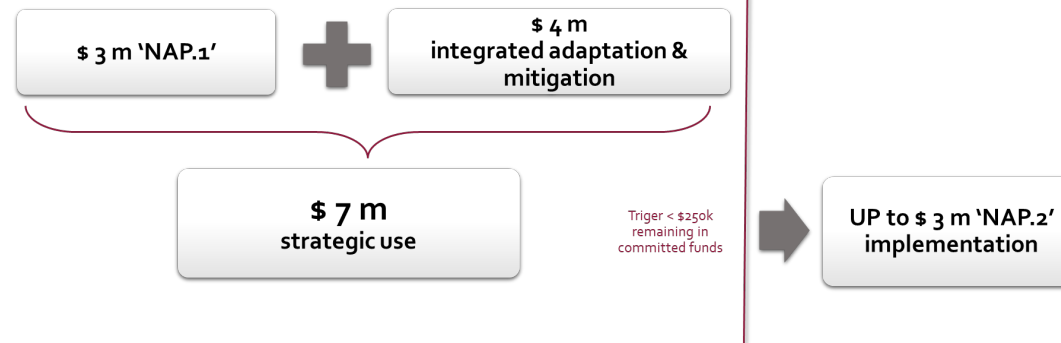
**Trigger**  
 < \$250k remaining in committed funds

**Up to \$ 3 million** of NAP.2 implementation.

# RE-FRESHER



4-year integrated readiness support planning by the countries with support from the Secretariat



## MAIN WINDOW ACCESS

- STRATEGIC, medium-term programmes



## FAST TRACK (additional requests)

- GLOBAL Placements
- LDC/SIDS Placements [\$ 320,000]



## ADDITIONAL REQUEST

- 'NAP.2' additional support
- MULTI-COUNTRY programme

Intentional about FLEXIBILITY and SPEED of ACCESS, while promoting systems thinking & better planning

# RE-FRESHER

Applicable to the Country Support Modality and the DAE Support Modality\*

Strategic, medium-term programmes based on **4-year planning**

## ONE multi-year PROGRAMME

→ 4 years

- **mid-term** review to course correct in evolving circumstances & priorities ('still fit-for-purpose?')

**or**

## TWO multi-year PROGRAMMES

→ Based on planning for 4 years

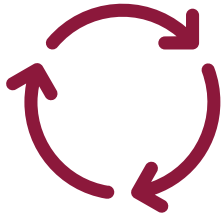
- **With any combination of USD values allocated between the two programmes.** Used when requirements are **not suited** for the integrated approach

## Programme size

- Country modality:
  - Min size USD 1 M
  - Max USD 7.32 M
- DAE modality:
  - Max size USD 1 M

Intentional about efficiency,  
speed, predictability

# TRANSITIONAL MEASURES



To address existing and immediate needs, a *BAU* readiness proposal for up to **USD 1 Million** can be prepared for submission by 31 December 2024. All 'transitional'/legacy proposals must be approved by 31 March 2025 → **deducted from of 2024 -2027 budget.**



To continue supporting National Adaptation Plan formulation (**NAP.1**), USD 3 Million can be utilized for submission as a stand-alone proposal or as a request for proposal based on the four-year planning.

# GCF PLACEMENT SCHEME



## Purpose

Build the institutional capacity necessary for effective and consistent engagement with the GCF

## Tasks

Country programming and project origination

Readiness planning

Supporting project appraisal

Supporting readiness and project implementation

Awareness raising and communications

## Costs

GCF directly processes the consultant fees (National UN rates)

Associated costs must be budgeted under the country modality

## Budget source

LDC/SIDS - Dedicated readiness window

Other countries – Readiness country window

## Contract duration

180 working days through 11 months and 29 days

## Place of work

NDA offices or any other agency if designated by the NDA

# GCF PLACEMENT SCHEME



**PHASE 1. REQUEST**

- NDA request
- TOR customization
- Agree MoU between NDA and GCF

**PHASE 2. RECRUITMENT**

- Default local hire
- Contract between GCF and consultant
- NDA and GCF co-selection process

**PHASE 3. ONBOARDING**

- Access to NDA and GCF systems
- Remote onboarding on GCF support and processes

**PHASE 4. MANAGEMENT**

- Subregional Lead interface between placement and GCF
- Day-to-day reporting to the NDA
- Monthly timesheet (cleared by NDA)
- Quarterly report
- Annual performance evaluation

3 weeks

13 weeks

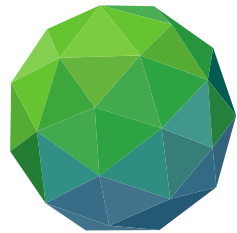
3 weeks

Throughout contract



# Q&A

Please feel free to share your questions in the chat or raise your hand to ask them directly. Thank you!



GREEN  
CLIMATE  
FUND

**Raising  
ambition.**  
**Empowering  
action.**